




Discretionary LEA 02		Monetary Gateway
Type of engagement	Individual/ internal staff engagements	Reason for interaction
Collaborative engagements		<input checked="" type="checkbox"/> To support investment decisionmaking in & company related ESG issues <input checked="" type="checkbox"/> To encourage corporate transition or identify the need to transition on <input type="checkbox"/> Other - specify
Service provider engagements		<input type="checkbox"/> To support investment decisionmaking in & company related ESG issues <input type="checkbox"/> To encourage corporate transition or identify the need to transition on <input type="checkbox"/> Other - specify

# RI TRANSPARENCY REPORT

2020

Landsbankinn hf.

## About this report

The PRI Reporting Framework is a key step in the journey towards building a common language and industry standard for reporting responsible investment (RI) activities. This RI Transparency Report is one of the key outputs of this Framework. Its primary objective is to enable signatory transparency on RI activities and facilitate dialogue between investors and their clients, beneficiaries and other stakeholders. A copy of this report will be publicly disclosed for all reporting signatories on the [PRI website](#), ensuring accountability of the PRI Initiative and its signatories.

This report is an export of the individual Signatory organisation's response to the PRI during the reporting period specified above. It includes their responses to mandatory indicators, as well as responses to voluntary indicators the signatory has agreed to make public. The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information.

## PRI disclaimer

This document presents information reported directly by signatories. This information has not been audited by the PRI Secretariat or any other party acting on their behalf. While this information is believed to be reliable, no representations or warranties are made as to the accuracy of the information presented, and no responsibility or liability can be accepted for any error or omission.

## Usage restrictions

Public Transparency Reports are the intellectual property of PRI. Under no circumstances, can this report or any of its contents be sold to third parties.

OO 01	Mandatory	Gateway/Peering	General
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OO 01.1		Select the services and funds you offer
Select the services and funds you offer	% of asset under management (AUM) in ranges	
Fund management	<input type="radio"/> 0% <input type="radio"/> <10% <input type="radio"/> 10-50% <input checked="" type="radio"/> >50%	
Fund of funds, manager of managers, sub-advised products	<input type="radio"/> 0% <input checked="" type="radio"/> <10% <input type="radio"/> 10-50% <input type="radio"/> >50%	
Other	<input type="radio"/> 0% <input checked="" type="radio"/> <10% <input type="radio"/> 10-50% <input type="radio"/> >50%	<div style="background-color: #0070C0; color: white; padding: 2px;">Please specify</div> Discretionary asset management
Total 100%		

OO 02	Mandatory	Peering	General
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OO 02.1		Select the location of your organisation's headquarters.
		Iceland
OO 02.2		Indicate the number of countries in which you have offices (including your headquarters).
		<input checked="" type="radio"/> 1 <input type="radio"/> 2-5 <input type="radio"/> 6-10 <input type="radio"/> >10
OO 02.3		Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).
		24

OO 03	Mandatory	Descriptive	General
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OO 03.1		Indicate whether you have subsidiaries within your organisation that are also PRI signatories in their own right.
		<input type="radio"/> Yes <input checked="" type="radio"/> No

OO 04	Mandatory	Gateway/Peering	General
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OO 04.1		Indicate the year end date for your reporting year.
		31/12/2019
OO 04.2		Indicate your total AUM at the end of your reporting year.
		Total AUM 3,914,000,000 USD 3914000000 USD
OO 04.4		Indicate the assets which are subject to an execution and/or advisory approach. Provide this figure based on the end of your reporting year
		Assets under execution and/or advisory only services 7,130,000,000 USD 7130000000 USD

OO 05	Mandatory to Report, Voluntary to Disclose	Gateway	General
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**OO 05.1** Provide an approximate percentage breakdown of your AUM at the end of your reporting year using the following asset classes and investment strategies:

	Internally managed (%)	Externally managed (%)
Listed equity	10-50%	0
Fixed income	10-50%	0
Private equity	10-50%	0
Property	<10%	0
Infrastructure	0	0
Commodities	0	0
Hedge funds	<10%	0
Fund of hedge funds	0	0
Forestry	0	0
Farmland	0	0
Inclusive finance	0	0
Cash	10-50%	0
Money market instruments	<10%	0
Other (1), specify	<10%	0
Other (2), specify	0	0

**Internally managed 'Other (1)' description**

Mixed funds managed by Landsbréf, Landsbankinn's subsidiary.

**OO 06** **Mandatory** Descriptive General

**OO 06.1** Select how you would like to disclose your asset class mix.

- as percentage breakdown
- as broad ranges

**OO 06.3** Indicate whether your organisation has any off-balance sheet assets [Optional].

- Yes
- No

**OO 06.5** Indicate whether your organisation uses fiduciary managers.

- Yes, we use a fiduciary manager and our response to OO 5.1 is reflective of their management of our assets.
- No, we do not use fiduciary managers.

**OO 07** **Mandatory to Report, Voluntary to Disclose** Gateway General

Private

**OO 09** **Mandatory** Peering General

**OO 09.1** Indicate the breakdown of your organisation's AUM by market.

99

Developed Markets

1

Emerging Markets

0

Frontier Markets

0

Other Markets

**OO 09.2** Additional information. [Optional]

Iceland is not listed in the MSCI breakdown. Iceland, our home market, is part of EEA and uses the same regulation framework as EU's single market, as such

we categorize it as a Developed Market. AUM in Iceland is about 85% of total AUM.

OO 10	Mandatory	Gateway	General
OO 10.1	Select the active ownership activities your organisation implemented in the reporting year.		
	Listed equity – engagement		
	<input checked="" type="checkbox"/> We engage with companies on ESG factors via our staff, collaborations or service providers. <input type="checkbox"/> We do not engage directly and do not require external managers to engage with companies on ESG factors.		
	Listed equity – voting		
	<input type="checkbox"/> We cast our (proxy) votes directly or via dedicated voting providers <input checked="" type="checkbox"/> We do not cast our (proxy) votes directly and do not require external managers to vote on our behalf		
OO 10.3	Indicate why you do not cast your votes		
	<input checked="" type="checkbox"/> Indicate why you do not cast your votes As an asset management we always invest on behalf of our customers and serve as a third party. <input type="checkbox"/> We do not cast our votes but provide substantive research and voting recommendations to our clients.		
	Fixed income SSA – engagement		
	<input checked="" type="checkbox"/> We engage with SSA bond issuers on ESG factors via our staff, collaborations or service providers. <input type="checkbox"/> We do not engage directly and do not require external managers to engage with SSA bond issuers on ESG factors. Please explain why you do not.		
	Fixed income Corporate (non-financial) – engagement		
	<input checked="" type="checkbox"/> We engage with companies on ESG factors via our staff, collaborations or service providers. <input type="checkbox"/> We do not engage directly and do not require external managers to engage with companies on ESG factors. Please explain why you do not.		
	Fixed income Corporate (securitised) – engagement		
	<input checked="" type="checkbox"/> We engage with companies on ESG factors via our staff, collaborations or service providers. <input type="checkbox"/> We do not engage directly and do not require external managers to engage with companies on ESG factors. Please explain why you do not.		

OO 11	Mandatory	Gateway	General
OO 11.1	Select the internally managed asset classes in which you addressed ESG incorporation into your investment decisions and/or your active ownership practices (during the reporting year).		
	Listed equity		
	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.		
	Fixed income - SSA		
	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.		
	Fixed income - corporate (non-financial)		
	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.		
	Fixed income - securitised		
	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.		
	Private equity		
	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.		
	Property		
	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.		
	Hedge funds		
	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.		

Cash	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.
Money market instruments	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.
Other (1)	<input checked="" type="checkbox"/> We address ESG incorporation. <input type="checkbox"/> We do not do ESG incorporation.
Other (1) [as defined in OO 05]	<p>These are mixed fund of funds, mostly managed by Landsbréf, Landsbankinn's subsidiary</p>

OO 12	Mandatory	Gateway	General
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OO 12.1 Below are all applicable modules or sections you may report on. Those which are mandatory to report (asset classes representing 10% or more of your AUM) are already ticked and read-only. Those which are voluntary to report on can be opted into by ticking the box.

Core modules	<input checked="" type="checkbox"/> Organisational Overview <input checked="" type="checkbox"/> Strategy and Governance
RI implementation directly or via service providers	
Direct - Listed Equity incorporation	<input checked="" type="checkbox"/> Listed Equity incorporation
Direct - Listed Equity active ownership	<input checked="" type="checkbox"/> Engagements
Direct - Fixed Income	<input checked="" type="checkbox"/> Fixed income - SSA <input type="checkbox"/> Fixed income - Corporate (non-financial) <input type="checkbox"/> Fixed income - Securitised
Direct - Other asset classes with dedicated modules	<input checked="" type="checkbox"/> Private Equity <input type="checkbox"/> Property <input type="checkbox"/> Hedge Funds and/or Fund of Hedge Funds
Closing module	<input checked="" type="checkbox"/> Closing module

OO LE 01	Mandatory to Report, Voluntary to Disclose	Gateway	General
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Private

OO FI 01	Mandatory to Report, Voluntary to Disclose	Gateway	General
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Private

OO FI 03	Mandatory	Descriptive	General
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OO FI 03.1 Indicate the approximate (+/- 5%) breakdown of your SSA investments, by developed markets and emerging markets.

SSA	Developed markets	99
	Emerging markets	1

OO FI 03.3 Additional information. [Optional]

In the list above we regard Iceland as a developed market. Since our investment in SSA is mainly in Icelandic bonds.

OO PE 01	Mandatory	Descriptive	General
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OO PE 01.1 Provide a breakdown of your organisation's internally managed private equity investments by investment strategy.

Investment strategy	Percentage of your internally managed private equity holdings (in terms of AUM)
Venture capital	<input type="radio"/> >50% <input type="radio"/> 10-50% <input checked="" type="radio"/> <10% <input type="radio"/> 0%
Growth capital	<input checked="" type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input type="radio"/> 0%
(Leveraged) buy-out	<input type="radio"/> >50% <input checked="" type="radio"/> 10-50% <input type="radio"/> <10% <input type="radio"/> 0%
Distressed/Turnaround/Special Situations	<input type="radio"/> >50% <input type="radio"/> 10-50% <input checked="" type="radio"/> <10% <input type="radio"/> 0%
Secondaries	<input type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input checked="" type="radio"/> 0%
Other investment strategy, specify (1)	<input type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input checked="" type="radio"/> 0%
Other investment strategy, specify (2)	<input type="radio"/> >50% <input type="radio"/> 10-50% <input type="radio"/> <10% <input checked="" type="radio"/> 0%
Total 100%	

OO PE 02	Mandatory to Report, Voluntary to Disclose	Descriptive	General
Private			
OO PR 01	Mandatory to Report, Voluntary to Disclose	Descriptive	General
Private			
OO PR 02	Mandatory to Report, Voluntary to Disclose	Gateway	General
Private			
OO PR 03	Mandatory to Report, Voluntary to Disclose	Descriptive	General
Private			
OO HF 01	Mandatory to Report, Voluntary to Disclose	Descriptive	General
Private			
OO Checks			Checks

If there are any messages below, please review them before continuing. If there are no messages below, please save this page and continue.

**SG 01.1** Indicate if you have an investment policy that covers your responsible investment approach.

Yes

**SG 01.2** Indicate the components/types and coverage of your policy.

Policy components/types	Coverage by AUM
<input checked="" type="checkbox"/> Policy setting out your overall approach <input type="checkbox"/> Formalised guidelines on environmental factors <input type="checkbox"/> Formalised guidelines on social factors <input type="checkbox"/> Formalised guidelines on corporate governance factors <input type="checkbox"/> Fiduciary (or equivalent) duties <input type="checkbox"/> Asset class-specific RI guidelines <input type="checkbox"/> Sector specific RI guidelines <input checked="" type="checkbox"/> Screening / exclusions policy <input type="checkbox"/> Other, specify (1) <input type="checkbox"/> Other, specify(2)	<input type="radio"/> Applicable policies cover all AUM <input checked="" type="radio"/> Applicable policies cover a majority of AUM <input type="radio"/> Applicable policies cover a minority of AUM

**SG 01.3** Indicate if the investment policy covers any of the following

- Your organisation’s definition of ESG and/or responsible investment and it’s relation to investments
- Your investment objectives that take ESG factors/real economy influence into account
- Time horizon of your investment
- Governance structure of organisational ESG responsibilities
- ESG incorporation approaches
- Active ownership approaches
- Reporting
- Climate change
- Understanding and incorporating client / beneficiary sustainability preferences
- Other RI considerations, specify (1)
- Other RI considerations, specify (2)

**SG 01.4** Describe your organisation’s investment principles and overall investment strategy, interpretation of fiduciary (or equivalent) duties, and how they consider ESG factors and real economy impact.

Assessment of investments shall take the following into account:

- » Landsbankinn’s Policy on Responsible Investment
- » International laws and conventions ratified by Iceland
- » Operating licence requirements
- » The UN Global Compact
- » OECD Guidelines for Multinational Companies
- » OECD Corporate Governance
- » Guidelines on Corporate Governance published by the Iceland Chamber of Commerce, NASDAQ OMX Iceland hf. and the Confederation of Icelandic Employers, as well as the Guidelines on Corporate Social Responsibility published by NASDAQ OMX Iceland.

**SG 01.5** Provide a brief description of the key elements, any variations or exceptions to your investment policy that covers your responsible investment approach. [Optional]

Landsbankinn’s Policy on Responsible Investment is based on the United Nations’ Principles on Responsible Investment, of which Landsbankinn is a member. The policy reflects Landsbankinn’s rules on, inter alia, risk appetite, large exposures and maximum aggregate risk, operational risk, reputational risk, liquidity risk and good corporate governance.

Landsbankinn aims to be a leader in responsible investment in Iceland, as a strategy of responsible investment positively impacts return on investment in the long term and reduces operational risk.

The Policy is intended to serve as a framework that enables the Bank to integrate corporate social responsibility in investment decisions.

The Policy applies to Landsbankinn and the Bank emphasises the need for its subsidiaries to set comparable policies.

The Policy applies first and foremost to investment in listed and unlisted equities on the Bank’s own behalf or its customers:

Responsible investment involves:

- » That Landsbankinn complies with current laws and regulations on individual markets.
- » That investment always seeks to minimise the negative effects of and promotes the positive impact of investment on the environment, employees and other stakeholders.
- » That Landsbankinn obliges itself to promote on-going improvements in matters relating to environmental, social and governance affairs.
- » That Landsbankinn carries out risk assessment designed to evaluate the ESG aspects of companies under consideration for investment.

No



SG 01 CC	Mandatory to Report, Voluntary to Disclose	Descriptive	General
Private			
SG 02	Mandatory	Core Assessed	PRI 6
SG 02.1	Indicate which of your investment policy documents (if any) are publicly available. Provide a URL and an attachment of the document.		
<input checked="" type="checkbox"/> Policy setting out your overall approach			
		URL/Attachment	
<input checked="" type="checkbox"/> URL <a href="https://corporate.landsbankinn.com/csr/">https://corporate.landsbankinn.com/csr/</a>			
<input type="checkbox"/> Attachment (will be made public)			
<input type="checkbox"/> Screening / exclusions policy			
<input type="checkbox"/> We do not publicly disclose our investment policy documents			
SG 02.2	Indicate if any of your investment policy components are publicly available. Provide URL and an attachment of the document.		
<input checked="" type="checkbox"/> Your organisation's definition of ESG and/or responsible investment and it's relation to investments			
		URL/Attachment	
<input checked="" type="checkbox"/> URL <a href="https://corporate.landsbankinn.com/csr/">https://corporate.landsbankinn.com/csr/</a>			
<input type="checkbox"/> Attachment			
<input type="checkbox"/> We do not publicly disclose any investment policy components			
SG 03	Mandatory	Core Assessed	General
SG 03.1	Indicate if your organisation has a policy on managing potential conflicts of interest in the investment process.		
<input checked="" type="radio"/> Yes			
SG 03.2	Describe your policy on managing potential conflicts of interest in the investment process.		
<p>There are Chinese walls in place between Asset Management and other departments to prevent conflict of interest between Landsbankinn and its clients. Potential conflicts between our asset management clients are managed with best execution rules, under which all clients receive equal treatment</p> <p>Landsbankinn's Conflicts of Interest Policy: <a href="https://www.landsbankinn.com/Uploads/Documents/UmlLandsbankinn/MiFID/1226-04_Summary_of_Landsbankinns_Conflicts_of_Interest_Policy.pdf">https://www.landsbankinn.com/Uploads/Documents/UmlLandsbankinn/MiFID/1226-04_Summary_of_Landsbankinns_Conflicts_of_Interest_Policy.pdf</a></p>			
<input type="radio"/> No			
SG 04	Voluntary	Descriptive	General
Private			
SG 05	Mandatory	Gateway/Core Assessed	General
SG 05.1	Indicate if and how frequently your organisation sets and reviews objectives for its responsible investment activities.		
<input type="radio"/> Quarterly or more frequently			
<input type="radio"/> Biannually			
<input checked="" type="radio"/> Annually			
<input type="radio"/> Less frequently than annually			
<input type="radio"/> Ad-hoc basis			
<input type="radio"/> It is not set/reviewed			
SG 06	Voluntary	Descriptive	General
Private			
SG 07	Mandatory	Core Assessed	General
SG 07.1	Indicate the internal and/or external roles used by your organisation, and indicate for each whether they have oversight and/or implementation responsibilities for responsible investment.		
		Roles	
<input type="checkbox"/> Board members or trustees			
<input checked="" type="checkbox"/> Internal Roles (triggers other options)			
		Select from the below internal roles	
<input checked="" type="checkbox"/> Chief Executive Officer (CEO), Chief Investment Officer (CIO), Chief Operating Officer (COO), Investment Committee			

- Oversight/accountability for responsible investment
- Implementation of responsible investment
- No oversight/accountability or implementation responsibility for responsible investment
- Other Chief-level staff or head of department, specify
  - Corporate Banking
    - Oversight/accountability for responsible investment
    - Implementation of responsible investment
    - No oversight/accountability or implementation responsibility for responsible investment
- Portfolio managers
  - Oversight/accountability for responsible investment
  - Implementation of responsible investment
  - No oversight/accountability or implementation responsibility for responsible investment
- Investment analysts
  - Oversight/accountability for responsible investment
  - Implementation of responsible investment
  - No oversight/accountability or implementation responsibility for responsible investment
- Dedicated responsible investment staff
- Investor relations
- Other role, specify (1)
- Other role, specify (2)
- External managers or service providers

**SG 07.3** Indicate the number of dedicated responsible investment staff your organisation has.

0

**SG 07.4** Additional information. [Optional]

Our current view is to not have a dedicated responsible investment staff but rather to have all of our fund managers responsible to implement responsible investment strategies into their investment decisions.

Landsbankinn has an employee working as a specialist in Corporate social responsibility.

<b>SG 07 CC</b>	<b>Mandatory to Report, Voluntary to Disclose</b>	<b>Descriptive</b>	<b>General</b>
Private			

<b>SG 08</b>	<b>Voluntary</b>	<b>Additional Assessed</b>	<b>General</b>
Private			

<b>SG 09</b>	<b>Mandatory</b>	<b>Core Assessed</b>	<b>PRI 4,5</b>
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**SG 09.1** Select the collaborative organisation and/or initiatives of which your organisation is a member or in which it participated during the reporting year, and the role you played.

- Principles for Responsible Investment

<b>Your organisation's role in the initiative during the reporting period (see definitions)</b>
Basic

<b>Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]</b>
Two employees from Landsbankinn took part in PRI in Paris in 2019.
Landsbankinn has been asked to attend board meetings of institutional clients to go over our vision and actions in RI. Landsbankinn has also actively encouraged members of IcelandSIF to join the Bank as members of PRI.

- Asian Corporate Governance Association
- Australian Council of Superannuation Investors
- AVCA: Sustainability Committee
- France Invest – La Commission ESG
- BVCA – Responsible Investment Advisory Board
- CDP Climate Change
- CDP Forests
- CDP Water
- CFA Institute Centre for Financial Market Integrity
- Climate Action 100+
- Code for Responsible Investment in SA (CRISA)

- Council of Institutional Investors (CII)
- Eumedion
- Extractive Industries Transparency Initiative (EITI)
- ESG Research Australia
- Invest Europe Responsible Investment Roundtable
- Global Investors Governance Network (GIGN)
- Global Impact Investing Network (GIIN)
- Global Real Estate Sustainability Benchmark (GRESB)
- Green Bond Principles

<b>Your organisation's role in the initiative during the reporting period (see definitions)</b>
Moderate
<b>Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]</b>
<p>Landsbankinn has systematically built up knowledge and developed expertise in green bonds issuance and is of course adhering to the Green bond principles.</p> <p>Landsbankinn has played a leading role in educating the domestic investment community, has held many educational meetings and as well as private consultations.</p>

- HKVCA: ESG Committee
- Institutional Investors Group on Climate Change (IIGCC)
- Interfaith Center on Corporate Responsibility (ICCR)
- International Corporate Governance Network (ICGN)
- Investor Group on Climate Change, Australia/New Zealand (IGCC)
- International Integrated Reporting Council (IIRC)
- Investor Network on Climate Risk (INCR)/CERES
- Local Authority Pension Fund Forum
- Principles for Financial Action in the 21st Century
- Principles for Sustainable Insurance
- Regional or National Social Investment Forums (e.g. UKSIF, Eurosif, ASRIA, RIAA), specify

IcelandSIF

<b>Your organisation's role in the initiative during the reporting period (see definitions)</b>
Advanced
<b>Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]</b>
<p>Landsbankinn plays a key role in the ongoing operations of the IcelandSIF organisation. One employee of Landsbankinn serves as director of the board and another employee plays a leading role in IcelandSIF's education committee. Landsbankinn will be leading the SIF meeting to be held in Harpa Conference Hall in the May 2021 and preparation is already under way.</p> <p>Landsbankinn employees participated in 6 educational events held in the IcelandSIF forum and the Bank was also actively involved in organisation of the events.</p>

- Responsible Finance Principles in Inclusive Finance
- Shareholder Association for Research and Education (Share)
- United Nations Environmental Program Finance Initiative (UNEP FI)

<b>Your organisation's role in the initiative during the reporting period (see definitions)</b>
Moderate
<b>Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]</b>
<p>Landsbankinn was a founding member in 1992. In 2019, Landsbankinn became a founding signatory of the PRBs and attended the signing and launch of the initiative at the UN General Assembly in New York, joining a coalition of 130 banks from around the world. The Principles are intended to strategically align financial activity with the UN's Sustainable Development Goals and the Paris Climate Agreement.</p>

- United Nations Global Compact

<b>Your organisation's role in the initiative during the reporting period (see definitions)</b>
Moderate
<b>Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]</b>
<p>Landsbankinn publishes an annual ESG Report based on the principles of the Global Reporting Initiative. The report also serves as the annual communication on progress report to the UN Global Compact. The Report contains detailed information about the Bank's sustainability platform and its impact on the environment and society.</p> <p>Landsbankinn is also active in the Icelandic network of companies that participate in the UN Global Compact and, together with three other companies, aims to establish a formal Global Compact network for Icelandic companies in 2020.</p>

- Other collaborative organisation/initiative, specify

- Other collaborative organisation/initiative, specify
- Other collaborative organisation/initiative, specify
- Other collaborative organisation/initiative, specify

SG 10

Mandatory

Core Assessed

PRI 4

SG 10.1

Indicate if your organisation promotes responsible investment, independently of collaborative initiatives.

Yes

SG 10.2

Indicate the actions your organisation has taken to promote responsible investment independently of collaborative initiatives. Provide a description of your role in contributing to the objectives of the selected action and the typical frequency of your participation/contribution.

- Provided or supported education or training programmes (this includes peer to peer RI support) Your education or training may be for clients, investment managers, actuaries, broker/dealers, investment consultants, legal advisers etc.)

Description
All portfolio managers completed RI training from the PRI Academy. After signing the PRBs, the Bank presented benchmarks for responsible banking internally for the Bank's staff.

Frequency of contribution
<input type="radio"/> Quarterly or more frequently
<input type="radio"/> Biannually
<input type="radio"/> Annually
<input type="radio"/> Less frequently than annually
<input checked="" type="radio"/> Ad hoc
<input type="radio"/> Other

- Provided financial support for academic or industry research on responsible investment

Description
Played an important role in the IcelandSIF organisation

Frequency of contribution
<input type="radio"/> Quarterly or more frequently
<input type="radio"/> Biannually
<input type="radio"/> Annually
<input type="radio"/> Less frequently than annually
<input checked="" type="radio"/> Ad hoc
<input type="radio"/> Other

- Provided input and/or collaborated with academia on RI related work

Description
Landsbankinn collaborates with the University of Iceland to develop case studies and training about ESG metrics and criteria setting. The Bank also provides other support and sponsors the courses. Landsbankinn participated in a MS degree class at the University of Iceland, how to generate Responsible reporting. Landsbankinn has had University Students in training in the investment team, students that are studying RI. Landsbankinn has also invited University student's to visit our Investment team to learn about our investment processes and RI.

Frequency of contribution
<input type="radio"/> Quarterly or more frequently
<input type="radio"/> Biannually
<input type="radio"/> Annually
<input type="radio"/> Less frequently than annually
<input checked="" type="radio"/> Ad hoc
<input type="radio"/> Other

- Encouraged better transparency and disclosure of responsible investment practices across the investment industry

Description
Distributed questionnaires and collected information about ESG issues within the Icelandic equity market. Collaborated with Nasdaq to translate and promote the ESG questionnaire that Icelandic issuers will have opportunity to respond to in coming months.

Frequency of contribution
<input type="radio"/> Quarterly or more frequently
<input type="radio"/> Biannually
<input checked="" type="radio"/> Annually
<input type="radio"/> Less frequently than annually

- Ad hoc
- Other

Spoke publicly at events and conferences to promote responsible investment

Description
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Landsbankinn has participated in many public events and conferences: - Speech about Responsible Investment with a special focus on green bond issues delivered to employees of Reykjavik City - Speech about Responsible Investment delivered to the Association of Internal Auditors - Speech about the Global Compact delivered to the Business Associations - Speech about Responsible Investment delivered to the Young Investor Associations - Speech about future sustainability delivered to Rannís, Nýsköpunarmiðstöð Íslands, Íslandsstofu and Nýsköpunarsjóð

Frequency of contribution
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- Quarterly or more frequently
- Biannually
- Annually
- Less frequently than annually
- Ad hoc
- Other

- Wrote and published in-house research papers on responsible investment
- Encouraged the adoption of the PRI
- Responded to RI related consultations by non-governmental organisations (OECD, FSB etc.)
- Wrote and published articles on responsible investment in the media

Description
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Articles published officially and in-house about RI and Green bonds. <https://www.landsbankinn.is/frettir/2019/07/04/Landsbankinn-og-Landsbref-taka-innleidingu-abyrgra-fjarfestinga-a-naesta-skref/>

Frequency of contribution
---------------------------

- Quarterly or more frequently
- Biannually
- Annually
- Less frequently than annually
- Ad hoc
- Other

- A member of PRI advisory committees/ working groups, specify
- On the Board of, or officially advising, other RI organisations (e.g. local SIFs)

Description
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A Landsbankinn fund manager is on the board of directors of IcelandSIF; another employee is a member of an IcelandSIF advisory group. One Landsbankinn employee is a member of the Environmental group of the Iceland Chamber of Commerce. One Landsbankinn employee is organizing the 2021 meeting for Nordic SIF's to be held in May 2021.

Frequency of contribution
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- Quarterly or more frequently
- Biannually
- Annually
- Less frequently than annually
- Ad hoc
- Other

Other, specify

No

SG 10.3	Describe any additional actions and initiatives that your organisation has taken part in during the reporting year to promote responsible investment [Optional]
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- > Landsbankinn is working with a third party provider to set up ESG metrics and criteria for Icelandic issuers. We are updating our valuation models to incorporate ESG ratings. An agreement providing for ESG risk ratings was signed in June 2019. Link to an article about the venture: <https://www.landsbankinn.com/news-and-notifications/2019/07/04/Landsbankinn-and-Landsbref-Take-the-Next-Step-in-their-Introduction-of-Responsible-Investing/?p=14>
- > Landsbankinn signed an agreement with MorningStar to get support on foreign ESG ratings for equities, bonds and funds.
- > Landsbankinn published an article about how ESG factors influence the company valuation: <https://umraedan.landsbankinn.is/umraedan/samfelagid/fyrirtaeki-metin-ut-fra-samfelagsabyrgd/>
- > Landsbankinn published an article about our goals under the UN Global Compact: <https://umraedan.landsbankinn.is/umraedan/samfelagid/heimsmarkmid/>
- > Landsbankinn signed the PRBs of UNEP FI in October 2019: <https://corporate.landsbankinn.com/media/news-and-notifications/2019/09/23/Landsbankinn-becomes-a-founding-signatory-of-the-UNs-Principles-for-Responsible-Banking/?p=8>

- > Landsbankinn's client achieved certification of a green bond framework: <https://www.landsbankinn.com/news-and-notifications/2019/10/31/Green-bond-framework-of-Municipality-Credit-Iceland/?p=9>
- > Participation in events with IcelandSIF about the presentation, revision and monitoring of non-financial information (ESG): <https://www.icelandsif.is/vidburdir/morgunfundur-um-framsetningu-endursko%C3%B0un-og-efirlit-me%C3%B0-%C3%B3fj%C3%A1rhagslegum-uppl%C3%BDsingum-21-n%C3%B3vember/>
- > Landsbankinn published an article and media interview about the increase in demand of green bond issuance: <https://www.ruv.is/frett/heildarverdmaeti-graenna-skuldabrefa-fimmfaldast-fra-2015>
- > Published newspaper article about green financing for green community project: <https://www.vb.is/skodun/graen-fjarmognun-graenum-verkefnum-sveitarfelaganna/159104/>
- > Landsbankinn received a very good ESG rating from Sustainalytics: <https://www.landsbankinn.com/news-and-notifications/2019/11/18/Landsbankinn-receives-positive-review-in-CSR-from-Sustainalytics/?p=8>
- > Landsbankinn held a speech about GRI reporting at a GRI Masterclass training held by Festa, Centre for Corporate Social Responsibility.

SG 11	Voluntary	Additional Assessed	PRI 4,5,6
Private			
SG 12	Mandatory	Core Assessed	PRI 4
SG 12.1	Indicate whether your organisation uses investment consultants.		
<input type="checkbox"/> Yes, we use investment consultants <input checked="" type="checkbox"/> No, we do not use investment consultants.			
SG 13	Mandatory	Descriptive	PRI 1
SG 13.1	Indicate whether the organisation carries out scenario analysis and/or modelling, and if it does, provide a description of the scenario analysis (by asset class, sector, strategic asset allocation, etc.).		
<input type="checkbox"/> Yes, in order to assess future ESG factors <input type="checkbox"/> Yes, in order to assess future climate-related risks and opportunities <input checked="" type="checkbox"/> No, our organisation does not currently carry out scenario analysis and/or modelling			
SG 13.3	Additional information. [OPTIONAL]		
Landsbankinn is working with a third party provider to develop metrics and criteria for ESG factors for Icelandic issuers.			
SG 14	Mandatory to Report, Voluntary to Disclose	Additional Assessed	PRI 1
Private			
SG 14 CC	Voluntary		General
Private			
SG 15	Mandatory to Report, Voluntary to Disclose	Descriptive	PRI 1
Private			
SG 16	Mandatory	Descriptive	General
Private			
SG 18	Voluntary	Descriptive	General
Private			
SG 19	Mandatory	Core Assessed	PRI 2, 6
SG 19.1	Indicate whether your organisation typically discloses asset class specific information proactively. Select the frequency of the disclosure to clients/beneficiaries and the public, and provide a URL to the public information.		
Listed equity - Incorporation			
Do you disclose?			
<input checked="" type="checkbox"/> We do not proactively disclose it to the public and/or clients/beneficiaries <input type="checkbox"/> We disclose to clients/beneficiaries only. <input type="checkbox"/> We disclose it publicly			
Listed equity - Engagement			
Do you disclose?			
<input checked="" type="checkbox"/> We do not disclose to either clients/beneficiaries or the public. <input type="checkbox"/> We disclose to clients/beneficiaries only. <input type="checkbox"/> We disclose to the public			
Fixed income			
Do you disclose?			

- We do not disclose to either clients/beneficiaries or the public.
- We disclose to clients/beneficiaries only.
- We disclose to the public

Private equity

Do you disclose?

- We do not disclose to either clients/beneficiaries or the public.
- We disclose to clients/beneficiaries only.
- We disclose to the public

Hedge Funds

Do you disclose?

- We do not disclose to either clients/beneficiaries or the public.
- We disclose to clients/beneficiaries only.
- We disclose to the public

SG Checks

Checks

If there are any messages below, please review them before continuing. If there are no messages below, please save this page and continue.

LEI 01	Mandatory	Gateway	PRI 1
LEI 01.1	Indicate which ESG incorporation strategy and/or combination of strategies you apply to your actively managed listed equities; and the breakdown of your actively managed listed equities by strategy or combination of strategies.		
	<input type="checkbox"/> Screening alone (i.e., not combined with any other strategies) <input type="checkbox"/> Thematic alone (i.e., not combined with any other strategies) <input type="checkbox"/> Integration alone (i.e., not combined with any other strategies) <input type="checkbox"/> Screening and integration strategies <input type="checkbox"/> Thematic and integration strategies <input type="checkbox"/> Screening and thematic strategies <input type="checkbox"/> All three strategies combined <input checked="" type="checkbox"/> We do not apply incorporation strategies		
	Percentage of active listed equity to which the strategy is applied – you may estimate +/- 5%		100%
LEI 11	Mandatory to Report, Voluntary to Disclose	Descriptive	PRI 1
	Private		
LEI Checks			Checks
	<input checked="" type="checkbox"/> If there are any messages below, please review them before continuing. If there are no messages below, please save this page and continue.		



LEA 01	Mandatory	Core Assessed	PRI 2								
LEA 01.1	Indicate whether your organisation has an active ownership policy (includes engagement and/or voting).										
	<input type="radio"/> Yes <input checked="" type="radio"/> No										
LEA 01.4	Do you outsource any of your active ownership activities to service providers?										
	<input type="radio"/> Yes <input checked="" type="radio"/> No										
LEA 02	Mandatory	Core Assessed	PRI 1,2,3								
LEA 02.1	Indicate the method of engagement, giving reasons for the interaction.										
	<table border="1"> <thead> <tr> <th>Type of engagement</th> <th>Reason for interaction</th> </tr> </thead> <tbody> <tr> <td>Individual / Internal staff engagements</td> <td> <input type="checkbox"/> To influence corporate practice (or identify the need to influence it) on ESG issues  <input checked="" type="checkbox"/> To encourage improved/increased ESG disclosure  <input checked="" type="checkbox"/> To gain an understanding of ESG strategy and/or management  <input type="checkbox"/> We do not engage via internal staff           </td> </tr> <tr> <td>Collaborative engagements</td> <td> <input checked="" type="checkbox"/> To influence corporate practice (or identify the need to influence it) on ESG issues  <input checked="" type="checkbox"/> To encourage improved/increased ESG disclosure  <input checked="" type="checkbox"/> To gain an understanding of ESG strategy and/or management  <input type="checkbox"/> We do not engage via collaborative engagements           </td> </tr> <tr> <td>Service provider engagements</td> <td> <input type="checkbox"/> To influence corporate practice (or identify the need to influence it) on ESG issues  <input type="checkbox"/> To encourage improved/increased ESG disclosure  <input type="checkbox"/> To gain an understanding of ESG strategy and/or management  <input checked="" type="checkbox"/> We do not engage via service providers           </td> </tr> </tbody> </table>			Type of engagement	Reason for interaction	Individual / Internal staff engagements	<input type="checkbox"/> To influence corporate practice (or identify the need to influence it) on ESG issues <input checked="" type="checkbox"/> To encourage improved/increased ESG disclosure <input checked="" type="checkbox"/> To gain an understanding of ESG strategy and/or management <input type="checkbox"/> We do not engage via internal staff	Collaborative engagements	<input checked="" type="checkbox"/> To influence corporate practice (or identify the need to influence it) on ESG issues <input checked="" type="checkbox"/> To encourage improved/increased ESG disclosure <input checked="" type="checkbox"/> To gain an understanding of ESG strategy and/or management <input type="checkbox"/> We do not engage via collaborative engagements	Service provider engagements	<input type="checkbox"/> To influence corporate practice (or identify the need to influence it) on ESG issues <input type="checkbox"/> To encourage improved/increased ESG disclosure <input type="checkbox"/> To gain an understanding of ESG strategy and/or management <input checked="" type="checkbox"/> We do not engage via service providers
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LEA 03	Mandatory	Core Assessed	PRI 2								
LEA 03.1	Indicate whether your organisation has a formal process for identifying and prioritising engagements.										
	<input type="radio"/> Yes <input checked="" type="radio"/> No										
LEA 04	Mandatory	Core Assessed	PRI 2								
LEA 04.1	Indicate whether you define specific objectives for your organisation's engagement activities.										
	<table border="1"> <tbody> <tr> <td>Individual / Internal staff engagements</td> <td> <input type="radio"/> All engagement activities  <input type="radio"/> Majority of engagement activities  <input type="radio"/> Minority of engagement activities  <input checked="" type="radio"/> We do not define specific objectives for engagement activities carried out by internal staff           </td> </tr> <tr> <td>Collaborative engagements</td> <td> <input type="radio"/> All engagement activities  <input type="radio"/> Majority of engagement activities  <input type="radio"/> Minority of engagement activities  <input checked="" type="radio"/> We do not define specific objectives for engagement activities carried out through collaboration           </td> </tr> </tbody> </table>			Individual / Internal staff engagements	<input type="radio"/> All engagement activities <input type="radio"/> Majority of engagement activities <input type="radio"/> Minority of engagement activities <input checked="" type="radio"/> We do not define specific objectives for engagement activities carried out by internal staff	Collaborative engagements	<input type="radio"/> All engagement activities <input type="radio"/> Majority of engagement activities <input type="radio"/> Minority of engagement activities <input checked="" type="radio"/> We do not define specific objectives for engagement activities carried out through collaboration				
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Collaborative engagements	<input type="radio"/> All engagement activities <input type="radio"/> Majority of engagement activities <input type="radio"/> Minority of engagement activities <input checked="" type="radio"/> We do not define specific objectives for engagement activities carried out through collaboration										
LEA 05	Mandatory	Core Assessed	PRI 2								
LEA 05.1	Indicate whether you monitor and/or review engagement outcomes.										
	<table border="1"> <tbody> <tr> <td>Individual / Internal staff engagements</td> <td> <input type="radio"/> Yes, in all cases  <input type="radio"/> Yes, in a majority of cases  <input type="radio"/> Yes, in a minority of cases  <input checked="" type="radio"/> We do not monitor, or review engagement outcomes when the engagement is carried out by our internal staff.           </td> </tr> </tbody> </table>			Individual / Internal staff engagements	<input type="radio"/> Yes, in all cases <input type="radio"/> Yes, in a majority of cases <input type="radio"/> Yes, in a minority of cases <input checked="" type="radio"/> We do not monitor, or review engagement outcomes when the engagement is carried out by our internal staff.						
Individual / Internal staff engagements	<input type="radio"/> Yes, in all cases <input type="radio"/> Yes, in a majority of cases <input type="radio"/> Yes, in a minority of cases <input checked="" type="radio"/> We do not monitor, or review engagement outcomes when the engagement is carried out by our internal staff.										

Collaborative engagements	<input type="radio"/> Yes, in all cases <input type="radio"/> Yes, in a majority of cases <input type="radio"/> Yes, in a minority of cases <input checked="" type="checkbox"/> We do not monitor, or review engagement outcomes when the engagement is carried out through collaboration.
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LEA 06	Mandatory	Additional Assessed	PRI 2,4
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LEA 06.1	Indicate whether your organisation has an escalation strategy when engagements are unsuccessful.
<input type="radio"/> Yes <input checked="" type="checkbox"/> No	

LEA 07	Voluntary	Additional Assessed	PRI 1,2
Private			

LEA 08	Mandatory	Gateway	PRI 2
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LEA 08.1	Indicate whether you track the number of your engagement activities.
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Type of engagement	Tracking engagements
<b>Individual/Internal staff engagements</b>	<input type="radio"/> Yes, we track the number of our engagements in full <input type="radio"/> Yes, we partially track the number of our engagements <input checked="" type="checkbox"/> We do not track
<b>Collaborative engagements</b>	<input type="radio"/> Yes, we track the number of collaborative engagements in full <input type="radio"/> Yes, we partially track the number of our collaborative engagements <input checked="" type="checkbox"/> We do not track

LEA 10	Voluntary	Additional Assessed	PRI 2
Private			

LEA 11	Voluntary	Descriptive	PRI 2
Private			

LEA Checks			Checks
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If there are any messages below, please review them before continuing. If there are no messages below, please save this page and continue.

FI 01	Mandatory	Gateway	PRI 1
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FI 01.1 Indicate (1) Which ESG incorporation strategy and/or combination of strategies you apply to your actively managed fixed income investments; and (2) The proportion (+/- 5%) of your total actively managed fixed income investments each strategy applies to.

SSA	Screening alone	0
	Thematic alone	0
	Integration alone	0
	Screening + integration strategies	0
	Thematic + integration strategies	0
	Screening + thematic strategies	0
	All three strategies combined	0
	No incorporation strategies applied	100

FI 01.2 Describe your reasons for choosing a particular ESG incorporation strategy and how combinations of strategies are used.

No ESG incorporation strategy has been established.

No official ESG incorporation strategy has been applied. Majority of our bond holdings are in Icelandic government bonds and as such meet the requirements we address in regards to ESG factors. We are also actively supporting the new Green bonds market in Iceland by assisting issuers and as investors.

FI 14	Mandatory to Report, Voluntary to Disclose	Core Assessed	PRI 2
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Private

FI 15	Mandatory to Report, Voluntary to Disclose	Additional Assessed	PRI 1,2
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Private

FI 16	Mandatory to Report, Voluntary to Disclose	Additional Assessed	PRI 1,2
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Private

FI 17	Mandatory to Report, Voluntary to Disclose	Additional Assessed	General
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Private

PE 01	Voluntary	Descriptive	PRI 1-6
Private			
PE 02	Mandatory	Core Assessed	PRI 2
PE 02.1	Indicate whether your organisation's investment activities are guided by a responsible investment policy / follow responsible investment guidelines.		
<input checked="" type="checkbox"/> Our investment activities are guided by a responsible investment policy			
PE 02.2	Describe how your organisation outlines expectations on staff and portfolio companies' approach towards ESG issues in investment activities.		
Landsbankinn has a board member in invested companies. It is the responsibility of the board member to implement ESG related tasks and criteria/measurements. Based on Landsbankinn ESG policy.			
<input type="checkbox"/> Our investment activities are not guided by a responsible investment policy <input type="checkbox"/> We do not have a responsible investment policy			
PE 03	Mandatory	Core Assessed	PRI 1,4,6
PE 03.1	Indicate if your most recent fund placement documents (private placement memorandums (PPM) or similar) refer to responsible investment.		
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable as our organisation does not fundraise			
PE 04	Voluntary	Additional Assessed	PRI 4
Private			
PE 05	Mandatory	Gateway	PRI 1
PE 05.1	During due-diligence indicate if your organisation typically incorporates ESG issues when selecting private equity investments.		
<input checked="" type="checkbox"/> Yes			
PE 05.2	Describe your organisation's approach to incorporating ESG issues in private equity investment selection.		
When investing in a new private equity investment, Landsbankinn actively seeks to understand and take into consideration that company's view on ESG issues and if necessary how difficult it would be to improve it and incorporate better ESG issues into that company. If invested then Landsbankinn put in place step by step plan to set up measurements on ESG criteria to reach in specific timeperiod.			
<input type="checkbox"/> No			
PE 06	Mandatory	Core Assessed	PRI 1,3
PE 06.1	Indicate what type of ESG information your organisation typically considers during your private equity investment selection process.		
<input checked="" type="checkbox"/> Raw data from target company <input type="checkbox"/> Benchmarks against other companies <input type="checkbox"/> Sector level data/benchmarks <input type="checkbox"/> Country level data/benchmarks <input checked="" type="checkbox"/> Reporting standards, industry codes and certifications <input type="checkbox"/> International initiatives, declarations or standards <input type="checkbox"/> Engagements with stakeholders (e.g. customers and suppliers) <input checked="" type="checkbox"/> Advice from external resources <input type="checkbox"/> Other, specify <input type="checkbox"/> We do not track this information			
PE 06.2	Describe how this information is reported to, considered and documented by the Investment Committee or similar.		
When deciding on investment the Investment Committee will receive information about the company, usually both from internal and external sources. In that information both ESG and other factors are put forward for discussion with in the Landsbankinn's team and in a meeting with the Investment Committee.			
PE 07	Voluntary	Additional Assessed	PRI 1,2
Private			
PE 08	Voluntary	Additional Assessed	PRI 1
Private			
PE 09	Mandatory	Gateway/Core Assessed	PRI 2
PE 09.1	Indicate whether your organisation incorporates ESG issues in investment monitoring of portfolio companies.		
<input checked="" type="checkbox"/> Yes			

<b>PE 09.2</b>	Indicate the proportion of portfolio companies where your organisation included ESG performance in investment monitoring during the reporting year.
<input checked="" type="checkbox"/> >90% of portfolio companies <input type="checkbox"/> 51-90% of portfolio companies <input type="checkbox"/> 10-50% of portfolio companies <input type="checkbox"/> <10% of portfolio companies	
<b>PE 09.3</b>	Indicate ESG issues for which your organisation typically sets and monitors targets (KPIs or similar) and provide examples per issue.
<input checked="" type="checkbox"/> Environmental	
Example 1	waste management
Example 2 (optional)	Emission management
Example 3 (optional)	Water usage
<input checked="" type="checkbox"/> Social	
Example 1	Equal Pay Certification
Example 2 (optional)	Employee turnover
Example 3 (optional)	Gender ratio equality in management team
<input type="checkbox"/> Governance <input type="checkbox"/> We do not set and/or monitor against targets	
<input type="radio"/> No	

<b>PE 10</b>	Mandatory	Core Assessed	PRI 2
<b>PE 10.1</b>	Indicate if your organisation tracks the proportion of your portfolio companies that have an ESG/sustainability-related policy (or similar guidelines).		
<input checked="" type="checkbox"/> Yes			
<b>PE 10.2</b>	Indicate what percentage of your portfolio companies has an ESG/sustainability policy (or similar guidelines).		
<input type="radio"/> >90% of portfolio companies <input checked="" type="radio"/> 51-90% of portfolio companies <input type="radio"/> 10-50% of portfolio companies <input type="radio"/> <10% of portfolio companies <input type="radio"/> 0% of portfolio companies			
<input type="radio"/> No			
<b>PE 13</b>	Voluntary	Additional Assessed	PRI 2
<i>Private</i>			
<b>PE 14</b>	Voluntary	Additional Assessed	PRI 1,2
<i>Private</i>			
<b>PE 15</b>	Mandatory to Report, Voluntary to Disclose	Descriptive	PRI 1,2
<i>Private</i>			
<b>PE 16</b>	Mandatory to Report, Voluntary to Disclose	Descriptive	PRI 6
<i>Private</i>			

CM1 01	Mandatory	Additional Assessed	General
CM1 01.1	Indicate whether the reported information you have provided for your PRI Transparency Report this year has undergone:		
<input type="checkbox"/> Third party assurance over selected responses from this year's PRI Transparency Report <input type="checkbox"/> Third party assurance over data points from other sources that have subsequently been used in your PRI responses this year <input type="checkbox"/> Third party assurance or audit of the correct implementation of RI processes (that have been reported to the PRI this year) <input type="checkbox"/> Internal audit of the correct implementation of RI processes and/or accuracy of RI data (that have been reported to the PRI this year) <input type="checkbox"/> Internal verification of responses before submission to the PRI (e.g. by the CEO or the board) <input checked="" type="checkbox"/> Other, specify Verified by Managing Director of Markets <input type="checkbox"/> None of the above			
CM1 02	Mandatory	Descriptive	General
CM1 02.1	We undertook third party assurance on last year's PRI Transparency Report		
<input type="radio"/> Whole PRI Transparency Report was assured last year <input type="radio"/> Selected data was assured in last year's PRI Transparency Report <input checked="" type="radio"/> We did not assure last year's PRI Transparency report <input type="radio"/> None of the above, we were in our preparation year and did not report last year.			
CM1 03	Mandatory	Descriptive	General
CM1 03.1	We undertake confidence building measures that are unspecific to the data contained in our PRI Transparency Report:		
<input type="checkbox"/> We adhere to an RI certification or labelling scheme <input type="checkbox"/> We carry out independent/third party assurance over a whole public report (such as a sustainability report) extracts of which are included in this year's PRI Transparency Report <input type="checkbox"/> ESG audit of holdings <input type="checkbox"/> Other, specify <input checked="" type="checkbox"/> None of the above			
CM1 04	Mandatory	Descriptive	General
CM1 04.1	Do you plan to conduct third party assurance of this year's PRI Transparency report?		
<input type="radio"/> Whole PRI Transparency Report will be assured <input type="radio"/> Selected data will be assured <input checked="" type="radio"/> We do not plan to assure this year's PRI Transparency report			